

MAY 2 9 2002

TECH CENTER 1600/2900

Our Case No.: 10466/253

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Ashkenazi et al.

Serial No.: 09/989,721

Filing Date: November 19, 2001

For: SECRETED AND

TRANSMEMBRANE

POLYPEPTIDES AND NUCLEIC ACIDS ENCODING THE SAME

Examiner: To be assigned

Group Art Unit No.: 1646

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Information Disclosure Statement be entered and the documents listed below and on the attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

The references now cited are the following:

<u>OTHER ART</u>

- 1. Blast Results A1-A5, GenBank
- 2. Blast Results, B1-B2, Dayhoff

In accordance with 37 C.F.R. § 1.97(g),(h), this Information Disclosure Statement

is not to be construed as a representation that a search has been made and is not to be

construed to be an admission that the information cited is, or is considered to be,

material to patentability as defined in 37 C.F.R. § 1.56(b).

This Information Disclosure Statement is being filed prior to the receipt of the first

Official Action reflecting an examination on the merits and hence is believed to be timely

filed in accordance with 37 C.F.R. § 1.97(b). No fees are believed to be due in

connection with filing of this Information Disclosure Statement, however, should any

fees under 37 C.F.R. §§ 1.16 to 1.21 be deemed necessary for any reason relating to

these material, the Commissioner is hereby authorized to deduct said fees from Brinks

Hofer Gilson & Lione Deposit Account No. 23-1925. A duplicate copy of this document

is enclosed.

Applicant(s) respectfully request that the listed documents be made of record in

the present case.

Respectfully submitted,

Paul E. Rauch, Ph.D.

Registration No. 38,591

Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE P.O. Box 10395 Chicago, IL 60610 (312) 321-4200

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TECH CENTER 1600/2900 SERIAL NO. CASE NO. 09/989,721 10466/253 LIST OF PATENTS AND PUBLICATIONS FOR FILING DATE **GROUP ART UNIT APPLICANT'S INFORMATION DISCLOSURE** November 19, 2001

APPLICANT(S): Ashkenazi et al.

REFERENCE DESIGNATION		U.S. PATENT DOCUMENTS			
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS/ SUBCLASS	FILING DATE
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FOREIGN PATENT DOCUMENTS EXAMINER DOCUMENT CLASS/ TRANSLATION INITIAL **NUMBER** DATE COUNTRY **SUBCLASS** YES NO

EXAMINER					
INITIAL	OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)				
	A1	Blast Results A1-A5, GenBank			
	A2	Blast Results, B1-B2, Dayhoff			

EXAMINER	DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449

(use several sheets if necessary)

STATEMENT